

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.
133070

In Re Application Of:

YE et al.

Serial No.

N/A

Filing Date

October 1, 2003

Examiner

N/A

Group Art Unit

N/A

Title:

METHOD AND APPARATUS FOR ANTI-ISLANDING PROTECTION OF DISTRIBUTED GENERATIONS

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

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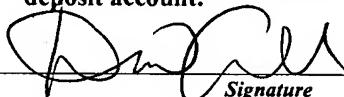
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Dated: October 1, 2003

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FORM PTO 1449 (REV 2-32)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			File No. 133070	Serial No. N/A	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)					Applicant(s): YE et al.		
					Filing Date: October 1, 2003	Group: N/A	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,111,377	05/05/92	Higasa et al.	363	95	03/01/91
	2	6,219,623	04/17/01	Wills	702	60	11/24/98
	3	6,429,546	08/06/02	Ropp et al.	307	31	11/19/99
FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, etc.)							
	4		Stevens, John et al. "Development and Testing of an Approach to Anti-Islanding in Utility-Interconnected Photovoltaic Systems," SAND 2000-1939, Albuquerque, NM: Sandia National Laboratories, August, 2000.				
	5		Ropp, M.E. et al. "Analysis and Performance Assessment of the Active Frequency Drift Method of Islanding Prevention," IEEE Transactions on Energy Conversion, IEEE: Vol. 14, No. 3, September 1999.				
Examiner				Date considered			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							